

# Spectral analysis of differential operators with indefinite weights and a local point interaction

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**Abstract.** We consider quasi-self-adjoint extensions of the symmetric operator  $A = -(\operatorname{sgn} x) \frac{d^2}{dx^2}$ ,  $\operatorname{dom}(A) = \{f \in W_2^2(\mathbb{R}) : f(0) = f'(0) = 0\}$ , in the Hilbert space  $L^2(\mathbb{R})$ . The main result is a criterion of similarity to a normal operator for operators of this class. The spectra and resolvents of these extensions are described. As an application we describe the main spectral properties of the operators  $(\operatorname{sgn} x) \left(-\frac{d^2}{dx^2} + c\delta\right)$  and  $(\operatorname{sgn} x) \left(-\frac{d^2}{dx^2} + c\delta'\right)$ .

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## Introduction

Consider the symmetric operator  $A$  in the Hilbert space  $L^2(\mathbb{R})$  defined by

$$\begin{aligned} \operatorname{dom}(A) &= \{f \in W_2^2(\mathbb{R}) : f(0) = f'(0) = 0\}, \\ (Af)(x) &= -(\operatorname{sgn} x)f''(x) \quad \text{for } f \in \operatorname{dom} A. \end{aligned} \quad (0.1)$$

The object of investigation is the similarity of quasi-self-adjoint extensions of  $A$  (see [1]) to a normal operator. Let us recall that two operators  $T_1$  and  $T_2$  in a Hilbert space  $\mathfrak{H}$  are called similar if there exists a bounded operator  $C$  with bounded inverse  $C^{-1}$  such that  $T_1 = C^{-1}T_2C$ .

Spectral problems

$$(Ly)(x) = \lambda r(x)y(x), \quad (0.2)$$

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where  $L$  is an elliptic operator and the function  $r(x)$  change sign, occur in certain physical models (see [4] and references therein). The question whether the system of eigenfunctions of the problem (0.2) forms a Riesz basis was studied in [3], [4], [19], [32] [33], [34] (see also references in [34]). If the operator  $\frac{1}{r}L$  has a nonempty continuous spectrum, then the corresponding problem is the similarity of  $\frac{1}{r}L$  to a self-adjoint (normal) operator.

In [9], [6], [15], [7], [8], [16] the Krein–Langer spectral theory of definitizable operators (see [28]) was applied to similarity problems for quasi  $J$ -nonnegative operators (see [15]) of the form  $\frac{1}{r}L$ . In particular, B. Čurgus and B. Najman [7] showed that the operator

$$\tilde{A} = -(\operatorname{sgn} x) \frac{d^2}{dx^2}, \quad \operatorname{dom}(\tilde{A}) = W_2^2(\mathbb{R}), \quad (0.3)$$

is similar to a self-adjoint one.

This result was proved by another method in [21]; the method is based on the Naboko–Malamud criterion of similarity to a self-adjoint operator [31], [29] (see also [5]). One more proof is presented in [20]. In the recent papers [22], [13], [14], [24] the Naboko–Malamud criterion was applied to different  $J$ -self-adjoint differential operators.

Differential operators with an indefinite weight are of interest from one more point of view. The characteristic function  $W(\cdot)$  of the operator  $\frac{1}{r}L$  as well as the corresponding  $J$ -form  $J - W^*JW$  is unbounded in  $\mathbb{C}_+$ . Therefore known sufficient conditions of similarity to a self-adjoint operator cannot be applied here (see [30], [20] and bibliography therein).

In the present paper we describe quasi-self-adjoint extensions  $A_B$  of the symmetric operator  $A$  in terms of boundary triplets (see [18], [11]). In Sections 3–4 we formulate a criterion of similarity of  $A_B$  to a normal (self-adjoint) operator. In order to illustrate these results in Section 5 we obtain simple similarity criteria for operators with local point interactions at zero

$$\tilde{A}_1 := \operatorname{sgn} x \left( -\frac{d^2}{dx^2} + c_1 \delta \right), \quad c_1 \in \mathbb{C}, \quad \tilde{A}_2 := \operatorname{sgn} x \left( -\frac{d^2}{dx^2} + c_2 \delta' \right), \quad c_2 \in \mathbb{C}.$$

(See definitions of the operators  $\tilde{A}_1, \tilde{A}_2$  in [2] and also in Section 5 of the present paper).

The results of the paper were announced in [23].

**Notation:** By  $\mathfrak{H}, \mathcal{H}$  we denote separable Hilbert spaces. The set of all bounded linear operators from  $\mathfrak{H}$  to  $\mathcal{H}$  is denoted by  $[\mathfrak{H}, \mathcal{H}]$  or  $[\mathfrak{H}]$  if  $\mathfrak{H} = \mathcal{H}$ .  $\mathcal{C}(\mathfrak{H})$  stands for the set of closed densely defined operators in  $\mathfrak{H}$ . Let  $T$  be a linear operator in a Hilbert space  $\mathfrak{H}$ . In what follows  $\operatorname{dom}(T)$ ,  $\ker(T)$ ,  $\operatorname{ran}(T)$  are the domain, kernel, range of  $T$ , respectively. We denote by  $\sigma(T)$ ,  $\sigma_r(T)$ ,  $\sigma_c(T)$  the point, residual and continuous spectra of  $T$ . By  $\sigma_p(T)$  the set of eigenvalues of  $T$  is indicated. We denote the resolvent set by  $\rho(T)$ ;  $R_T(\lambda) := (T - \lambda I)^{-1}$ ,  $\lambda \in \rho(T)$ , is the resolvent of  $T$ . Recall that  $\sigma_r(T) = \{\lambda \in \sigma(T) \setminus \sigma_p(T) : \operatorname{ran}(T - \lambda I) \neq \mathfrak{H}\}$ ,  $\sigma_c(T) = \sigma(T) \setminus (\sigma_p(T) \cup \sigma_r(T))$ .

We set  $\mathbb{C}_\pm := \{\lambda \in \mathbb{C} : \pm \operatorname{Im} \lambda > 0\}$ ,  $\mathbb{R}_+ := (0, +\infty)$ ,  $\mathbb{R}_- := (-\infty, 0)$ . By  $\chi_{\mathcal{I}}(t)$  we denote the characteristic function of the interval  $\mathcal{I}$ , i.e.,  $\chi_{\mathcal{I}}(t) = 1$  for  $t \in \mathcal{I}$ ,  $\chi_{\mathcal{I}}(t) = 0$  for  $t \notin \mathcal{I}$ . Finally, we set  $\chi_{\pm}(t) := \chi_{\mathbb{R}_\pm}(t)$ .

## 1. Preliminaries

### 1.1. A similarity criterion

Our approach is based on the concept of boundary triplets (see [18], [11]) and the resolvent similarity criterion obtained by S. N. Naboko [31] and M. M. Malamud [29] (in [5] this criterion was obtained under an additional assumption).

**Theorem 1.1** ([29, 31]). *A closed operator  $T$  in a Hilbert space  $\mathfrak{H}$  is similar to a self-adjoint one if and only if  $\sigma(A) \subset \mathbb{R}$  and for all  $f \in \mathfrak{H}$  the inequalities*

$$\sup_{\varepsilon > 0} \int_{-\infty}^{+\infty} \varepsilon \|R_T(\mu + i\varepsilon) f\|^2 d\mu \leq C \|f\|^2, \quad (1.1)$$

$$\sup_{\varepsilon > 0} \int_{-\infty}^{+\infty} \varepsilon \|R_{T^*}(\mu + i\varepsilon) f\|^2 d\mu \leq C^* \|f\|^2,$$

are valid with constants  $C$  and  $C^*$  independent of  $f$ .

### 1.2. Linear relations

**Definition 1.1.** (i) *A closed linear relation  $\Theta$  in  $\mathcal{H}$  is a closed subspace  $\Theta$  of  $\mathcal{H} \oplus \mathcal{H}$ .*

(ii) *The closed linear relation  $\Theta$  is symmetric if for all  $\{f_1, g_1\}, \{f_2, g_2\} \in \Theta$  the condition*

$$(g_1, f_2) - (f_1, g_2) = 0, \quad (1.2)$$

is satisfied.

(iii) *The closed linear relation  $\Theta$  is self-adjoint if it is maximal symmetric, i.e.,  $\Theta$  is symmetric and there does not exist a closed symmetric relation  $\tilde{\Theta}$  such that  $\Theta$  is properly contained in  $\tilde{\Theta}$ .*

Let us illustrate closed linear relations by simple examples.

**Example 1.1.** (i) *Let  $B$  be a closed operator in  $\mathcal{H}$ , not necessarily bounded. Then the graph  $G(B)$  of  $B$  is a closed relation in  $\mathcal{H}$ . Moreover, if  $B = B^*$  is a self-adjoint operator, then  $G(B)$  is a self-adjoint relation in  $\mathcal{H}$ .*

(ii) *The subspaces  $\Theta_0 := \{0\} \times \mathcal{H}$ ,  $\Theta_1 := \mathcal{H} \times \{0\}$  of  $\mathcal{H} \times \mathcal{H}$  are self-adjoint relations in  $\mathcal{H}$ . Obviously,  $\Theta_0$  is not the graph of any operator.*

### 1.3. Boundary triplets

Let  $A \in \mathcal{C}(\mathfrak{H})$  be a closed symmetric operator with equal deficiency indices  $n_+(A) = n_-(A)$  ( $n_\pm(T) := \dim \mathfrak{N}_\pm$  and by  $\mathfrak{N}_\lambda := \ker(T^* - \lambda)$  the deficiency subspaces of  $A$  are indicated). Without loss of generality we can assume that  $A$  is simple. This means that  $A$  has no self-adjoint parts.

**Definition 1.2** ([1]). (i) A closed extension  $\tilde{A}$  of  $A$  is called a proper extension if  $A \subset \tilde{A} \subset A^*$ . The set of all proper extensions is denoted by  $Ext_A$ .

(ii) A proper extension  $\tilde{A}$  is called a quasi-self-adjoint if

$$\dim(\text{dom}(\tilde{A})/\text{dom}(A)) = n_{\pm}(A). \quad (1.3)$$

We recall the definition of a boundary triplet which may be considered as an abstract version of the second Green formula.

**Definition 1.3** ([18]). A triplet  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  consisting of an auxiliary Hilbert space  $\mathcal{H}$  and linear mappings

$$\Gamma_j : \text{dom}(A^*) \longrightarrow \mathcal{H}, \quad j \in \{0, 1\}, \quad (1.4)$$

is called a boundary triplet for the adjoint operator  $A^*$  of  $A$  if the following two conditions are satisfied:

(i) The second Green's formula

$$(A^*f, g) - (f, A^*g) = (\Gamma_1f, \Gamma_0g)_{\mathcal{H}} - (\Gamma_0f, \Gamma_1g)_{\mathcal{H}}, \quad f, g \in \text{dom}(A^*), \quad (1.5)$$

takes place and

(ii) the mapping

$$\Gamma : \text{dom}(A^*) \longrightarrow \mathcal{H} \oplus \mathcal{H}, \quad \Gamma f := \{\Gamma_0f, \Gamma_1f\}, \quad (1.6)$$

is surjective.

The above definition allows one to describe the set  $Ext_A$  in the following way (see [10, 11]).

**Proposition 1.1** ([10, 11]). Let  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  be a boundary triplet for  $A^*$ . Then the mapping  $\Gamma$  establishes a bijective correspondence  $\tilde{A} \rightarrow \Theta := \Gamma(\text{dom}(\tilde{A}))$  between the set  $Ext_A$  and the set of closed linear relations in  $\mathcal{H}$ .

By Proposition 1.1 the following definition is natural.

**Definition 1.4.** Let  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  be a boundary triplet for the operator  $A^*$ .

(i) Denote  $A_{\Theta} = \tilde{A}$  if  $\Theta = \Gamma(\text{dom}(\tilde{A}))$ , that is

$$A_{\Theta} := A^*|_{D_{\Theta}}, \quad \text{where} \quad D_{\Theta} := \{f \in \text{dom}(A^*) : \{\Gamma_0f, \Gamma_1f\} \in \Theta\}. \quad (1.7)$$

(ii) If  $\Theta = G(B)$  is the graph of  $B \in \mathcal{C}(\mathcal{H})$ , then  $\text{dom}(A_{\Theta})$  is determined by the equation  $\text{dom}(A_B) = D_B := D_{\Theta} = \ker(\Gamma_1 - B\Gamma_0)$ . We set  $A_B := A_{\Theta}$ .

Let us make the following remarks.

**Remark 1.1.** 1) The deficiency indices  $n_{\pm}(A)$  are equal to the dimension of  $\mathcal{H}$ , i.e.,  $\dim(\mathcal{H}) = n_{\pm}(A)$ .

2) There exist two self-adjoint extensions  $A_j := A^*|_{\ker(\Gamma_j)}$  which are naturally associated to a boundary triplet. According to Definition 1.4  $A_j = A_{\Theta_j}$ ,  $j \in \{0, 1\}$ , where  $\Theta_0 = \{0\} \times \mathcal{H}$ ,  $\Theta_1 = \mathcal{H} \times \{0\}$ . Conversely, if  $A_0$  is a self-adjoint extension of  $A$ , then there exists a boundary triplet  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  such that  $A_0 = A^*|_{\ker(\Gamma_0)}$ .

3)  $\Theta$  is the graph of an operator  $B \in \mathcal{C}(\mathcal{H})$  iff  $\tilde{A}$  and  $A_0$  are disjoint, i.e.,  $\text{dom}(\tilde{A}) \cap \text{dom}(A_0) = \text{dom}(A)$ .

4)  $\Theta = G(B)$  with  $B \in [\mathcal{H}]$  iff  $\tilde{A}$  and  $A_0$  are transversal, i.e.,  $\tilde{A}$  and  $A_0$  are disjoint and  $\text{dom}(\tilde{A}) + \text{dom}(A_0) = \text{dom}(A^*)$ .

**Definition 1.5** ([12]). *The proper extension  $\tilde{A} \in \text{Ext}_A$  is called almost solvable if there exists a boundary triplet  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  and an operator  $B \in [\mathcal{H}]$  such that*

$$\text{dom}(\tilde{A}) = \text{dom}(A_B) := \ker(\Gamma_1 - B\Gamma_0). \quad (1.8)$$

The set of almost solvable extensions is denoted by  $\mathcal{A}s_A$ . Note that the class  $\mathcal{A}s_A$  is sufficiently wide. Proper extensions having two regular points  $\lambda_1, \lambda_2 \in \mathbb{C}$  such that  $\text{Im } \lambda_1 \cdot \text{Im } \lambda_2 < 0$  belong to  $\mathcal{A}s_A$ . All quasi-self-adjoint extensions are in  $\mathcal{A}s_A$  if  $n_{\pm}(A) < \infty$ .

#### 1.4. Weyl functions

It is well known that Weyl functions are an important tool in the direct and inverse spectral theory of singular Sturm–Liouville operators. In [10, 11] the concept of Weyl function was generalized to an arbitrary symmetric operator  $A$  with infinite deficiency indices  $n_+(A) = n_-(A)$ . In this subsection we recall basic facts about Weyl functions.

**Definition 1.6** ([10, 11]). *Let  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  be a boundary triplet for the operator  $A^*$ . The Weyl function of  $A$  corresponding to the boundary triplet  $\{\mathcal{H}, \Gamma_0, \Gamma_1\}$  is a unique mapping*

$$M(\cdot) : \rho(A_0) \longrightarrow [\mathcal{H}] \quad (1.9)$$

satisfying

$$\Gamma_1 f_\lambda = M(\lambda)\Gamma_0 f_\lambda \quad \text{for all } f_\lambda \in \mathfrak{N}_\lambda, \quad \lambda \in \rho(A_0), \quad (1.10)$$

where  $\mathfrak{N}_\lambda := \ker(A^* - \lambda I)$ .

It is well known (see [10, 11]) that the above implicit definition of the Weyl function is correct and  $M(\cdot)$  is an  $R$ -function obeying  $0 \in \rho(\text{Im}(M(i)))$ . The Weyl function immediately provides some information about the “spectral properties” of proper extensions. We confine ourselves to the case of almost solvable extensions of the symmetric operator  $A$ .

**Proposition 1.2** ([11, 12]). *Suppose that  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  is a boundary triplet for  $A^*$ ,  $M(\cdot)$  is the corresponding Weyl function,  $\lambda \in \rho(A_0)$  and  $B \in [\mathcal{H}]$ . Then:*

- 1)  $\lambda \in \rho(A_B)$  if and only if  $0 \in \rho(B - M(\lambda))$ ;
- 2)  $\lambda \in \sigma_i(A_B)$  if and only if  $0 \in \sigma_i(B - M(\lambda))$ ,  $i \in \{p, r, c\}$ .

#### 1.5. $\gamma$ -fields

With each boundary triplet we can associate a so-called  $\gamma$ -field.

**Definition 1.7** ([11]). *Let  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  be a boundary triplet for  $A^*$ . The  $\gamma$ -field  $\gamma(\cdot)$  corresponding to  $\Pi$  is defined by*

$$\gamma(\lambda) := (\Gamma_0|_{\mathfrak{N}_\lambda})^{-1} : \mathcal{H} \longrightarrow \mathfrak{N}_\lambda, \quad \lambda \in \rho(A_0). \quad (1.11)$$

One can easily check that

$$\gamma(\lambda) = (A_0 - \lambda_0)(A_0 - \lambda)^{-1}\gamma(\lambda_0), \quad \lambda, \lambda_0 \in \rho(A_0), \quad (1.12)$$

and consequently  $\gamma(\cdot)$  is a  $\gamma$ -field in the sense of [26]. It is shown in [11] that the  $\gamma$ -field  $\gamma(\cdot)$  and the Weyl function  $M(\cdot)$  are related by

$$M(\lambda) - M(\lambda_0)^* = (\lambda - \bar{\lambda}_0)\gamma(\lambda_0)^*\gamma(\lambda), \quad \lambda, \lambda_0 \in \rho(A_0). \quad (1.13)$$

The relation (1.13) means the  $M(\cdot)$  is a  $Q$ -function in the sense of [26].

The following version of the Krein-Naimark formula for canonical resolvents (see for instance [26]) is based on the notion of boundary triplets.

**Theorem 1.2** ([10, 11]). *Let  $\tilde{A}$  be an almost solvable extension of  $A$  ( $\tilde{A} \in \mathcal{A}s_A$ ), i.e.,  $\tilde{A} = A_B$  with  $B \in [\mathcal{H}]$  for some boundary triplet  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$ . Then*

$$(A_B - \lambda)^{-1} = (A_0 - \lambda)^{-1} + \gamma(\lambda)(B - M(\lambda))^{-1}\gamma^*(\bar{\lambda}), \quad \lambda \in \rho(A_B). \quad (1.14)$$

Here  $M(\cdot)$  and  $\gamma(\cdot)$  are the Weyl function and  $\gamma$ -field corresponding to the triplet  $\Pi$ .

## 2. Extensions of the minimal operator

### 2.1. Boundary conditions

Consider the operator  $A$  of the form (0.1). It is obvious that  $A$  is a closed simple symmetric operator with deficiency indices  $n_{\pm}(A) = 2$ .

We denote by  $\sqrt{z}$  the branch of the multifunction on the complex plane  $\mathbb{C}$  with the cut along  $\mathbb{R}_-$ , singled out by the condition  $\sqrt{-1 + i0} = i$ .

**Theorem 2.1.** (i) *The adjoint operator  $A^*$  has the form*

$$A^* = -(\operatorname{sgn} x) \frac{d^2}{dx^2}, \quad \operatorname{dom}(A^*) = W_2^2(\mathbb{R} \setminus \{0\}) := W_2^2(\mathbb{R}_-) \oplus W_2^2(\mathbb{R}_+). \quad (2.1)$$

(ii) *Let mappings  $\Gamma_j : W_2^2(\mathbb{R} \setminus \{0\}) \rightarrow \mathbb{C}^2$ ,  $j = \{0, 1\}$ , be given by*

$$\Gamma_0 f = \begin{pmatrix} f(+0) \\ f'(-0) \end{pmatrix}, \quad \Gamma_1 f = \begin{pmatrix} f'(+0) \\ -f(-0) \end{pmatrix}. \quad (2.2)$$

Then  $\Pi = \{\mathbb{C}^2, \Gamma_0, \Gamma_1\}$  is a boundary triplet for  $A^*$ .

(iii) *The corresponding Weyl function  $M(\cdot)$  is*

$$M(\lambda) := \begin{pmatrix} -\sqrt{-\lambda} & 0 \\ 0 & -1/\sqrt{\lambda} \end{pmatrix}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (2.3)$$

(iv) *The corresponding  $\gamma$ -field  $\gamma(\lambda) : \mathbb{C}^2 \rightarrow \mathfrak{N}_\lambda$  is*

$$\gamma(\lambda) \begin{pmatrix} c_+ \\ c_- \end{pmatrix} := c_+ \cdot e^{-\sqrt{-\lambda}x} \chi_+(x) + \frac{c_-}{\sqrt{\lambda}} \cdot e^{\sqrt{\lambda}x} \chi_-(x), \quad c_{\pm} \in \mathbb{C}. \quad (2.4)$$

*Proof.* The first statement is obvious. Moreover, we have

$$(A^*f, g) - (f, A^*g) = f'(+0)\overline{g(+0)} + f'(-0)\overline{g(-0)} - f(+0)\overline{g'(+0)} - f(-0)\overline{g'(-0)}, \quad f, g \in \text{dom}(A^*). \quad (2.5)$$

Hence (ii) follows from Definition 1.3.

Note that

$$\mathfrak{N}_\lambda = \{f_\lambda(x) := c_+ \cdot e^{-\sqrt{-\lambda}x}\chi_+(x) + c_- \cdot e^{\sqrt{\lambda}x}\chi_-(x) : c_\pm \in \mathbb{C}\}. \quad (2.6)$$

Combining (2.2) and (2.6), one gets

$$\Gamma_0 f_\lambda = \begin{pmatrix} c_+ \\ c_- \sqrt{\lambda} \end{pmatrix}, \quad \Gamma_1 f_\lambda = \begin{pmatrix} -c_+ \sqrt{-\lambda} \\ -c_- \end{pmatrix}. \quad (2.7)$$

By Definitions 1.6 and 1.7, we easily obtain (2.3) and (2.4).  $\square$

Let us introduce the following boundary conditions at zero

$$\begin{cases} a_{11}f(-0) + a_{12}f'(-0) + a_{13}f(+0) + a_{14}f'(+0) = 0 \\ a_{21}f(-0) + a_{22}f'(-0) + a_{23}f(+0) + a_{24}f'(+0) = 0 \end{cases}, \quad a_{ij} \in \mathbb{C}. \quad (2.8)$$

By Definition 1.2, a quasi-self-adjoint extension  $\tilde{A}$  of the operator  $A$  has the form

$$\begin{aligned} \tilde{A} &= A_{(a_{ij})} = A^*|_{\text{dom}(A_{(a_{ij})})}, \\ \text{dom}(A_{(a_{ij})}) &= \{f \in W_2^2(\mathbb{R} \setminus \{0\}) : f \text{ satisfies conditions (2.8)}\}, \end{aligned} \quad (2.9)$$

with the matrix

$$(a_{ij}) := \begin{pmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \end{pmatrix}$$

such that

$$\text{rank}(a_{ij}) = n_\pm(A) = 2. \quad (2.10)$$

Consider three cases.

1) Let  $\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  or  $\begin{pmatrix} a_{13} & a_{14} \\ a_{23} & a_{24} \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ . Then  $\tilde{A} = A_- \oplus A_+$ , where  $A_\pm$  is an operator in  $L^2(\mathbb{R}_\pm)$ . By condition (2.10), we see that one of the operators  $A_-$ ,  $A_+$  is a symmetric with deficiency indices (1,1) and another one is an adjoint to a symmetric operator with deficiency indices (1,1). Hence  $\mathbb{C} \setminus \mathbb{R} \subset \sigma_p(\tilde{A})$  and  $\tilde{A}$  is not similar to a normal operator.

2) Suppose that  $\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$  and  $\begin{pmatrix} a_{13} & a_{14} \\ a_{23} & a_{24} \end{pmatrix}$  have a zero column. Since  $\text{rank}(a_{ij}) = 2$ , it follows that  $\tilde{A} = A_- \oplus A_+$ , where  $A_+$  and  $A_-$  are self-adjoint operators in  $L^2(\mathbb{R}_+)$  and  $L^2(\mathbb{R}_-)$ , respectively. Thus  $\tilde{A} = \tilde{A}^*$ .

3) Suppose that there are three nonzero columns in  $(a_{ij})$ . In this case one of the determinants

$$\begin{aligned} \Delta_1 &= \begin{vmatrix} a_{11} & a_{14} \\ a_{21} & a_{24} \end{vmatrix}, & \Delta_2 &= \begin{vmatrix} a_{12} & a_{13} \\ a_{22} & a_{23} \end{vmatrix}, \\ \Delta_3 &= \begin{vmatrix} a_{12} & a_{14} \\ a_{22} & a_{24} \end{vmatrix}, & \Delta_4 &= \begin{vmatrix} a_{11} & a_{13} \\ a_{21} & a_{23} \end{vmatrix} \end{aligned} \quad (2.11)$$

does not vanish.

Evidently, only the case (3) is of interest to us.

## 2.2. The case $\Delta_1 \neq 0$

Let  $\Delta_1 \neq 0$ . (The cases  $\Delta_2 \neq 0$ ,  $\Delta_3 \neq 0$ , and  $\Delta_4 \neq 0$  will be considered in Section 4.) Then conditions (2.8) take the form

$$\begin{cases} f'(+0) = b_{11}f(+0) + b_{12}f'(-0) \\ -f(-0) = b_{21}f(+0) + b_{22}f'(-0). \end{cases} \quad (2.12)$$

Hence, by Definition 1.5,  $A_{(a_{ij})} = A_B = A^* | \ker(\Gamma_1 - B\Gamma_0)$ . Here

$$B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \in \mathbb{C}^{2 \times 2}$$

and the boundary triplet  $\Pi = \{\mathbb{C}^2, \Gamma_0, \Gamma_1\}$  is of the form (2.2).

In what follows  $A_B$  stands for the operator

$$A_B := -\operatorname{sgn} x \frac{d^2}{dx^2}, \quad \operatorname{dom}(A_B) = \{f \in W_2^2(\mathbb{R} \setminus \{0\}) : f \text{ satisfies (2.12)}\}. \quad (2.13)$$

For  $B \in \mathbb{C}^{2 \times 2}$  and the Weyl function  $M(\cdot)$  of the form (2.3) we define the function  $\varphi_B(\cdot) : \mathbb{C} \setminus \mathbb{R} \rightarrow \mathbb{C}$  by

$$\varphi_B(\lambda) := \det(B - M(\lambda)), \quad \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (2.14)$$

**Lemma 2.1.** *Suppose  $A_B$  is the operator of the form (2.13) and  $|b_{12}| + |b_{21}| \neq 0$ ; then:*

- (i)  $\sigma_c(A_B) = \mathbb{R}$ ;
- (ii)  $\sigma_r(A_B) = \emptyset$ ;
- (iii)  $\sigma_p(A_B) = \{\lambda \in \mathbb{C} \setminus \mathbb{R} : \varphi_B(\lambda) = 0\} = \{\lambda \in \mathbb{C}_+ : \varphi_B(\lambda) = 0\} \cup \{\lambda \in \mathbb{C}_- : \varphi_{B^*}(\bar{\lambda}) = 0\}$ .

*Proof.* Simple calculations show that there are no eigenvalues on the real axis and  $\sigma_c(A_B) = \mathbb{R}$ . The second and the third statements evidently follow from Proposition 1.2.  $\square$

**Lemma 2.2.** *Let  $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$  be a boundary triplet of the form (2.2). Then*

$$\gamma^*(\bar{\lambda})f = \begin{pmatrix} \int_0^{+\infty} f(t)e^{-\sqrt{-\lambda}t} dt \\ \frac{1}{\sqrt{\lambda}} \int_{-\infty}^0 f(t)e^{\sqrt{\lambda}t} dt \end{pmatrix}, \quad f \in L^2(\mathbb{R}). \quad (2.15)$$



*Proof.* By Theorem 2.1.(iv),  $\gamma^*(\cdot)$  is a map from  $L^2(\mathbb{R})$  to  $\mathbb{C}^2$ . To find  $\gamma^*(\cdot)$  we use the equation

$$(\gamma(\lambda)c, f)_{L^2(\mathbb{R})} = (c, \gamma^*(\lambda)f)_{\mathbb{C}^2}, \quad c = \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} \in \mathbb{C}^2, \quad f \in L^2(\mathbb{R}). \quad (2.16)$$

Combining (2.4) and (2.16), one gets

$$c_1 \cdot \int_0^{+\infty} \overline{f(t)} e^{-\sqrt{-\lambda}t} dt + \frac{c_2}{\sqrt{\lambda}} \cdot \int_{-\infty}^0 \overline{f(t)} e^{\sqrt{\lambda}t} dt = c_1 \cdot \overline{(\gamma^*(\lambda)f)_1} + c_2 \cdot \overline{(\gamma^*(\lambda)f)_2}. \quad (2.17)$$

Hence (2.15) immediately follows from (2.17).  $\square$

Let us denote

$$y_+(f, \lambda) := \int_0^{+\infty} f(t) e^{-\sqrt{-\lambda}t} dt, \quad y_-(f, \lambda) := \int_{-\infty}^0 f(t) e^{\sqrt{\lambda}t} dt, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (2.18)$$

**Lemma 2.3.** *Let the operator  $A_B$  be of the form (2.13) and  $A_0 = A^*|_{\ker \Gamma_0}$ . Then*

$$\begin{aligned} ((A_B - \lambda)^{-1}f - (A_0 - \lambda)^{-1}f)(x) &= \\ &= \frac{e^{-\sqrt{-\lambda}x} \cdot \chi_+(x)}{\varphi_B(\lambda)} \left( \left( b_{22} + \frac{1}{\sqrt{\lambda}} \right) y_+(f, \lambda) - \frac{b_{12}}{\sqrt{\lambda}} \cdot y_-(f, \lambda) \right) + \\ &+ \frac{e^{\sqrt{\lambda}x} \cdot \chi_-(x)}{\sqrt{\lambda} \cdot \varphi_B(\lambda)} \left( \frac{b_{11} + \sqrt{-\lambda}}{\sqrt{\lambda}} \cdot y_-(f, \lambda) - b_{21} \cdot y_+(f, \lambda) \right), \\ &f \in L^2(\mathbb{R}), \quad \lambda \in \rho(A_B). \end{aligned} \quad (2.19)$$

Here  $\varphi_B(\cdot)$  and  $y_{\pm}(f, \cdot)$  are given by (2.14) and (2.18), respectively.

*Proof.* By (2.3), for  $\lambda \in \rho(A_B)$  (see Lemma 2.1) one obtains

$$\begin{aligned} (B - M(\lambda))^{-1} &= \begin{pmatrix} b_{11} + \sqrt{-\lambda} & b_{12} \\ b_{21} & b_{22} + 1/\sqrt{\lambda} \end{pmatrix}^{-1} = \\ &= \frac{1}{\varphi_B(\lambda)} \begin{pmatrix} b_{22} + 1/\sqrt{\lambda} & -b_{12} \\ -b_{21} & b_{11} + \sqrt{-\lambda} \end{pmatrix}. \end{aligned} \quad (2.20)$$

Combining (2.4), (2.15), (2.20) with formula (1.14), we get (2.19).  $\square$

### 3. Similarity to a normal operator

#### 3.1. The main result

For each  $B \in \mathbb{C}^{2 \times 2}$  let us define the function  $\varphi_B^+ : \overline{\mathbb{C}_+} \rightarrow \overline{\mathbb{C}}$  in the following way. We set

$$\varphi_B^+(\lambda) := \varphi_B(\lambda) = \det(B - M(\lambda)) \quad \text{for } \lambda \in \mathbb{C}_+, \quad (3.1)$$

and for  $x \in \overline{\mathbb{R}}$  by  $\varphi_B^+(x)$  we denote the boundary values of  $\varphi_B(\lambda)$  in  $\mathbb{C}_+$ ,

$$\varphi_B^+(x) := \lim_{\substack{z \rightarrow x \\ z \in \mathbb{C}_+}} \det(B - M(z)), \quad x \in \mathbb{R} \cup \{\infty\}. \quad (3.2)$$

Note that the function  $\varphi_B^+$  is analytic on  $\mathbb{C}_+$  and continuous on  $\overline{\mathbb{C}_+} \setminus \{0\}$ .

The following similarity criterion is the main result of the paper.

**Theorem 3.1 (Main Theorem).** *Assume that  $\Delta_1 \neq 0$  and the operator  $A_B$  is defined by (2.13). Let  $\varphi_B^+$  and  $\varphi_{B^*}^+$  be the functions defined in (3.1)–(3.2) and  $|b_{12}| + |b_{21}| \neq 0$ . Then  $A_B$  is similar to a normal operator if and only if the following conditions hold:*

- (i)  $\varphi_B^+$  and  $\varphi_{B^*}^+$  have no zeroes in the set  $\mathbb{R} \cup \{\infty\}$ ;
- (ii)  $\varphi_B^+$  and  $\varphi_{B^*}^+$  have no zeroes of the second order in  $\mathbb{C}_+$ .

**Remark 3.1.** *Suppose that  $|b_{12}| + |b_{21}| = 0$ . Then the operator  $A_B$  has the form*

$$A_B = A_- \oplus A_+,$$

where the operators  $A_\pm : L^2(\mathbb{R}_\pm) \rightarrow L^2(\mathbb{R}_\pm)$  are given by

$$A_\pm := \mp \frac{d^2}{dx^2}, \quad \text{dom}(A_\pm) = \{f \in W_2^2(\mathbb{R}_\pm) : f(\pm 0) + b_\pm \cdot f'(\pm 0) = 0\}. \quad (3.3)$$

Here  $b_+ := -1/b_{11}$ ,  $b_- := b_{22}$ . Operators  $A_\pm$  are well studied.

**Remark 3.2.** *The function  $\varphi_B^+$  has a simple form. Indeed, by (2.14) and (2.3), we have*

$$\varphi_B^+(\lambda) = -ib_{22}\sqrt{\lambda} + (b_{11}b_{22} - b_{12}b_{21}) - i + \frac{b_{11}}{\sqrt{\lambda}}, \quad \lambda \in \overline{\mathbb{C}_+} \setminus \{0\}. \quad (3.4)$$

So the conditions of Theorem 3.1 can be easily checked (see Section 5). Let us remark that the function  $\varphi_B^+$  has at most two zeroes (a zero of multiplicity  $k$  is counted as  $k$  zeroes).

A criterion of similarity to a self-adjoint operator immediately follows from Theorem 3.1.

**Theorem 3.2.** *Let  $|b_{12}| + |b_{21}| \neq 0$ . Then the operator  $A_B$  is similar to a self-adjoint one iff the functions  $\varphi_B^+$  and  $\varphi_{B^*}^+$  do not vanish in  $\overline{\mathbb{C}_+}$ .*

*Proof.* By Lemma 2.1.(iii),  $\sigma(A_B) = \mathbb{R}$  iff the functions  $\varphi_B^+$  and  $\varphi_{B^*}^+$  have no zeroes in  $\mathbb{C}_+$ . Combining this fact with Theorem 3.1, we get Theorem 3.2.  $\square$

To prove the main theorem we recall the following Lemma.

**Lemma 3.1.** *If an operator  $T$  is similar to a normal one, then the inequality*

$$\|(T - \lambda I)^{-1}\| \leq \frac{C}{\text{dist}(\lambda, \sigma(T))} \quad (3.5)$$

holds with some constant  $C > 0$ .

### 3.2. Some estimates

We start with the following lemma.

**Lemma 3.2.** *Let  $|b_{12}| + |b_{21}| \neq 0$ . Suppose there exists  $\lambda_0 \in \mathbb{R} \cup \{\infty\}$  such that  $\varphi_B^+(\lambda_0) = 0$  or  $\varphi_{B^*}^+(\lambda_0) = 0$ . Then the operator  $A_B$  of the form (2.13) is not similar to a normal operator.*

*Proof.* Without loss of generality suppose that  $b_{21} \neq 0$  and  $\varphi_B^+(\lambda_0) = 0$  for some  $\lambda_0 \in \mathbb{R}$ .

It is obvious that for  $\lambda \in \mathbb{C} \setminus \mathbb{R}$

$$\begin{aligned} \sup_{\|f\| \leq 1} |y_+(f, \lambda)|^2 &= \sup_{\|f\| \leq 1} \left| \int_{\mathbb{R}_+} f(t) e^{-\sqrt{-\lambda}t} dt \right|^2 = \\ &= \|e^{-\sqrt{-\lambda}x} \chi_+(x)\|_{L^2}^2 = \frac{1}{|2 \operatorname{Re} \sqrt{-\lambda}|} = \frac{1}{|2 \operatorname{Im} \sqrt{\lambda}|}. \end{aligned} \quad (3.6)$$

Further, we set  $f_+(\cdot) := f(\cdot) \chi_+(\cdot)$ ,  $f \in L^2(\mathbb{R})$ . By (2.19), we have

$$\begin{aligned} \|(A_B - \lambda I)^{-1} f_+ - (A_0 - \lambda I)^{-1} f_+\|_{L^2}^2 &= \\ &= \left\| \frac{e^{-\sqrt{-\lambda}x} \cdot \chi_+(x)}{\varphi_B^+(\lambda)} \left( b_{22} + \frac{1}{\sqrt{\lambda}} \right) y_+(f_+, \lambda) \right\|_{L^2}^2 + \\ &\quad + |b_{21}| \cdot \left\| \frac{e^{\sqrt{\lambda}x} \cdot \chi_-(x)}{\sqrt{\lambda} \cdot \varphi_B^+(\lambda)} \cdot y_+(f_+, \lambda) \right\|_{L^2}^2, \\ &\quad \lambda \in \rho(A_B) \cap \mathbb{C}_+. \end{aligned} \quad (3.7)$$

Combining (3.6) and (3.7), one obtains

$$\begin{aligned} \|(A_B - \lambda I)^{-1} - (A_0 - \lambda I)^{-1}\|_{L^2}^2 &\geq \left| \left( b_{22} + \frac{1}{\sqrt{\lambda}} \right) \frac{1}{2\varphi_B^+(\lambda) \cdot \operatorname{Im} \sqrt{\lambda}} \right|^2 + \\ &\quad + \left| \frac{b_{21}}{4\sqrt{\lambda} \cdot \varphi_B^+(\lambda)} \right|^2 \cdot \frac{1}{|\operatorname{Re} \sqrt{\lambda} \cdot \operatorname{Im} \sqrt{\lambda}|}. \end{aligned} \quad (3.8)$$

Now if we recall Lemma 2.1, we obtain that  $\operatorname{dist}(\lambda, \sigma(A_B)) = |\operatorname{Im} \lambda|$  in some neighborhood of  $\lambda_0$ . Therefore, for sufficiently small  $\varepsilon$  and  $\lambda = \lambda_0 + i\varepsilon$

$$\begin{aligned} \operatorname{dist}(\lambda, \sigma(A_B))^2 \cdot \|(A_B - \lambda I)^{-1} - (A_0 - \lambda I)^{-1}\|_{L^2}^2 &\geq \\ &\geq \left| \frac{b_{21} \cdot \operatorname{Im} \lambda}{4\sqrt{\lambda} \cdot \varphi_B^+(\lambda)} \right|^2 \cdot \frac{1}{|\operatorname{Re} \sqrt{\lambda} \cdot \operatorname{Im} \sqrt{\lambda}|} \geq C_1 \cdot \frac{1}{|\operatorname{Im} \lambda|}. \end{aligned} \quad (3.9)$$

Then the left part of inequality (3.9) is unbounded in the neighborhood of  $\lambda_0$ . Note that  $A_0$  is a self-adjoint operator. Hence inequality (3.5) is valid for  $A_0$ . Therefore,

the function

$$\text{dist}(\lambda, \sigma(A_B)) \cdot \|(A_B - \lambda I)^{-1}\|_{L^2} \quad (3.10)$$

is unbounded in the neighborhood of  $\lambda_0$ . By Lemma 3.1, the operator  $A_B$  is not similar to a normal operator.

If  $\varphi_B^+(\infty) = 0$ , then formula (3.4) implies  $\varphi_B^+(\lambda) = b_{11}/\sqrt{\lambda}$  for  $\lambda \in \mathbb{C}_+$ . Hence for  $\varepsilon$  large enough

$$\begin{aligned} \text{dist}(i\varepsilon, \sigma(A_B))^2 \cdot \|(A_B - i\varepsilon I)^{-1} - (A_0 - i\varepsilon I)^{-1}\|_{L^2}^2 &\geq \\ &\geq \frac{|b_{21}|}{4|b_{11}|} \cdot \frac{\varepsilon^2}{|\text{Re} \sqrt{i\varepsilon} \cdot \text{Im} \sqrt{i\varepsilon}|} = C_2 \varepsilon. \end{aligned} \quad (3.11)$$

Since the right part of (3.11) is unbounded in  $\mathbb{C}_+$ , we see that  $A_B$  is not similar to a normal operator.  $\square$

**Lemma 3.3.** *Let  $|b_{12}| + |b_{21}| \neq 0$ . Suppose that the function  $\varphi_B^+$  has a zero of algebraic multiplicity 2 in  $\mathbb{C}_+$ . Then  $A_B$  is not similar to a normal operator.*

*Proof.* Let  $b_{21} \neq 0$  (the case  $b_{12} \neq 0$  can be considered in the same way). Suppose  $\lambda_0 \in \mathbb{C}_+$  is a zero of multiplicity 2 of  $\varphi_B^+(\cdot)$ . By (3.8), we have for  $\lambda \in \rho(A_B) \cap \mathbb{C}_+$

$$\|(A_B - \lambda I)^{-1} - (A_0 - \lambda I)^{-1}\|_{L^2} \geq \left| \frac{b_{21}}{4\sqrt{\lambda} \cdot \varphi_B^+(\lambda)} \right| \cdot \frac{1}{|\text{Re} \sqrt{\lambda} \cdot \text{Im} \sqrt{\lambda}|^{1/2}}. \quad (3.12)$$

Since  $\lambda_0 \in \rho(A_0)$ , we see that (3.12) implies

$$\|(A_B - \lambda I)^{-1}\|_{L^2} \geq \frac{C_{\lambda_0}}{|\varphi_B^+(\lambda)|}, \quad C_{\lambda_0} = \text{const} > 0, \quad (3.13)$$

in some neighborhood of  $\lambda_0$ . Therefore  $\lambda_0$  is a pole of multiplicity 2 of the resolvent  $(A_B - \lambda I)^{-1}$ . Consequently, the operator  $A_B$  is not similar to a normal one.  $\square$

We also need the following estimates.

**Lemma 3.4.** *Let  $\lambda = \mu + i\varepsilon$ , ( $\varepsilon > 0$ ). Let  $y_{\pm}(f, \lambda)$  be of the form (2.18). Then the following inequalities*

$$\varepsilon \int_{-\infty}^{+\infty} \left\| \frac{y_{\pm}(f, \lambda)}{\sqrt{\lambda}} \cdot e^{-\sqrt{-\lambda}x} \chi_{+}(x) \right\|_{L^2}^2 d\mu \leq 2\pi \cdot C_1 \|f\|_{L^2}^2, \quad (3.14)$$

$$\varepsilon \int_{-\infty}^{+\infty} \left\| \frac{y_{\pm}(f, \lambda)}{\sqrt{\lambda}} \cdot e^{\sqrt{\lambda}x} \chi_{-}(x) \right\|_{L^2}^2 d\mu \leq 2\pi \cdot C_2 \|f\|_{L^2}^2 \quad (3.15)$$

are valid for all  $f \in L^2(\mathbb{R})$  with constants  $C_1, C_2$  independent of  $\varepsilon$  and  $f$ .

*Proof.* Let us prove the inequality (3.14) for  $y_-(f, \lambda)$ .

Put  $f_-(\cdot) := f(\cdot)\chi_-(\cdot)$ ,  $f \in L^2(\mathbb{R})$ . Denote by  $F(z)$  the Fourier transform of  $f_-$ ,

$$F(z) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} f_-(t) e^{-izt} dt, \quad z \in \mathbb{C}_+. \quad (3.16)$$

Note that  $F(\cdot) \in H^2(\mathbb{C}_+)$  and  $\|F\|_{H^2} = \|f_-\|_{L^2} \leq \|f\|_{L^2}$ . Further, we obtain

$$\begin{aligned} \int_{-\infty}^{+\infty} \left\| \frac{y_-(f, \lambda)}{\sqrt{\lambda}} \cdot e^{-\sqrt{-\lambda}x} \chi_+(x) \right\|_{L^2}^2 d\mu &= 2\pi \int_{-\infty}^{+\infty} \frac{1}{|\lambda|} |F(i\sqrt{\lambda})|^2 \|e^{-\sqrt{-\lambda}x}\|_{L^2}^2 d\mu = \\ &= 2\pi \int_{-\infty}^{+\infty} \frac{1}{2|\sqrt{\lambda} \operatorname{Im} \sqrt{\lambda}|} |F(i\sqrt{\lambda})|^2 \frac{d\mu}{2\sqrt{|\lambda|}} \leq \\ &\leq 2\pi \int_{i\varepsilon-\infty}^{i\varepsilon+\infty} \frac{1}{2|\operatorname{Im} \sqrt{\lambda} \operatorname{Re} \sqrt{\lambda}|} |F(i\sqrt{\lambda})|^2 |d\sqrt{\lambda}| = \\ &= 2\pi \int_{i\varepsilon-\infty}^{i\varepsilon+\infty} \frac{1}{\operatorname{Im} \lambda} |F(i\sqrt{\lambda})|^2 |d\sqrt{\lambda}| = \frac{2\pi}{\varepsilon} \int_{i\varepsilon-\infty}^{i\varepsilon+\infty} |F(i\sqrt{\lambda})|^2 |d\sqrt{\lambda}|. \end{aligned} \quad (3.17)$$

Hence we find the estimate

$$\varepsilon \int_{-\infty}^{+\infty} \left\| \frac{y_-(f, \lambda)}{\sqrt{\lambda}} \cdot e^{-\sqrt{-\lambda}x} \chi_+(x) \right\|_{L^2}^2 d\mu \leq 2\pi \int_{i\varepsilon-\infty}^{i\varepsilon+\infty} |F(i\sqrt{\lambda})|^2 |d\sqrt{\lambda}|. \quad (3.18)$$

Finally, let us remark that  $|d\sqrt{\lambda}|$  is the Carleson measure for all  $\varepsilon > 0$  (see [17]). It is easy to see that the Carleson norms of these measures are uniformly bounded. Then, by the Carleson embedding theorem (see [17]), there exists  $C_1 > 0$  such that for all  $\varepsilon > 0$  the inequality

$$\int_{i\varepsilon-\infty}^{i\varepsilon+\infty} |F(i\sqrt{\lambda})|^2 |d\sqrt{\lambda}| \leq C_1 \|F\|_{H^2}^2 = C_1 \|f_-\|_{L^2}^2 \leq C_1 \|f\|_{L^2}^2 \quad (3.19)$$

holds. Combining (3.18) and (3.19), one gets (3.14).

Other inequalities can be obtained analogously.  $\square$

### 3.3. Proof of Theorem 3.1

**3.3.1. Necessity.** Follows immediately from Lemmas 3.2 and 3.3.

**3.3.2. Sufficiency. a)** Suppose that conditions (i) and (ii) of Theorem 3.1 hold. Suppose also that  $\lambda_1 \in \mathbb{C}_+$  is a unique zero of  $\varphi_B^+(\cdot)$  and  $\varphi_{B^*}^+(\cdot)$  does not vanish in  $\mathbb{C}_+$ . Then, by Lemma 2.1,  $\sigma(A_B) = \mathbb{R} \cup \{\lambda_1\}$ .

Denote by  $B(\lambda_1)$  a closed neighborhood of  $\lambda_1$  such that  $B(\lambda_1) \subset \mathbb{C}_+$ . Let us consider the Riesz projection

$$P_1 := \frac{1}{2\pi i} \int_{\partial B(\lambda_1)} (A_B - \lambda)^{-1} d\lambda, \quad (3.20)$$

where  $\partial B(\lambda_1)$  is the boundary of  $B(\lambda_1)$ .

Then (see [25])  $P_1 \in [L^2(\mathbb{R})]$  and  $A_B P_1 = P_1 A_B$ . Since  $A_0$  is a self-adjoint operator, it follows that

$$\frac{1}{2\pi i} \int_{\partial B(\lambda_1)} ((A_B - \lambda)^{-1} - (A_0 - \lambda)^{-1}) d\lambda = \frac{1}{2\pi i} \int_{\partial B(\lambda_1)} (A_B - \lambda)^{-1} d\lambda. \quad (3.21)$$

It is not hard to show that  $P_1$  is a one-dimensional operator in  $L^2(\mathbb{R})$ . Actually, we set  $m_{\lambda_1} := \lim_{\lambda \rightarrow \lambda_1} \frac{\lambda - \lambda_1}{\varphi(\lambda)}$ . Using (3.21) and (2.19), one gets

$$\begin{aligned} \frac{(P_1 f)(x)}{m_{\lambda_1}} &= e^{-\sqrt{-\lambda_1}x} \cdot \chi_+(x) \cdot \left( \left( b_{22} + \frac{1}{\sqrt{\lambda_1}} \right) y_+(f, \lambda_1) - \frac{b_{12}}{\sqrt{\lambda_1}} \cdot y_-(f, \lambda_1) \right) + \\ &+ \frac{e^{\sqrt{\lambda_1}x} \cdot \chi_-(x)}{\sqrt{\lambda_1}} \left( \frac{b_{11} + \sqrt{-\lambda_1}}{\sqrt{\lambda_1}} \cdot y_-(f, \lambda_1) - b_{21} \cdot y_+(f, \lambda_1) \right), \quad f \in L^2(\mathbb{R}). \end{aligned} \quad (3.22)$$

Let us write (3.22) in the following form

$$\begin{aligned} \frac{(P_1 f)(x)}{m_{\lambda_1}} &= y_+(f, \lambda_1) \cdot \left[ e^{-\sqrt{-\lambda_1}x} \chi_+(x) \cdot \left( b_{22} + \frac{1}{\sqrt{\lambda_1}} \right) - b_{21} \frac{e^{\sqrt{\lambda_1}x} \cdot \chi_-(x)}{\sqrt{\lambda_1}} \right] \\ &+ \frac{y_-(f, \lambda_1)}{\sqrt{\lambda_1}} \cdot \left[ e^{-\sqrt{-\lambda_1}x} \chi_+(x) \cdot (-b_{12}) + \frac{e^{\sqrt{\lambda_1}x} \cdot \chi_-(x)}{\sqrt{\lambda_1}} \cdot (b_{11} + \sqrt{-\lambda_1}) \right]. \end{aligned} \quad (3.23)$$

Note that

$$\det \begin{pmatrix} (b_{22} + \frac{1}{\sqrt{\lambda_1}}) & -b_{12} \\ -b_{21} & (b_{11} - i\sqrt{\lambda_1}) \end{pmatrix} = \det(B - M(\lambda_1)) = \varphi_B(\lambda_1) = 0. \quad (3.24)$$

Hence  $P_1$  is a one-dimensional operator.

**b)** By step (a), the space  $\mathfrak{H} = L^2(\mathbb{R})$  can be decomposed as (see [25])

$$\mathfrak{H} = \mathfrak{H}_0 \dot{+} \mathfrak{H}_1, \quad \mathfrak{H}_j := P_j \mathfrak{H}, \quad j \in \{0, 1\}, \quad P_0 := I - P_1. \quad (3.25)$$

Moreover,  $A_B P_j = P_j A_B, j \in \{0, 1\}$ , and the operator  $A_B$  admits the following decomposition

$$A_B = A_B^0 \dot{+} A_B^1, \quad A_B^j := P_j A_B P_j, \quad j \in \{0, 1\}. \quad (3.26)$$

We also have  $\sigma(A_B^1) = \{\lambda_1\}$  and  $\sigma(A_B^0) = \mathbb{R}$ .

Let us show that the inequality

$$\sup_{\varepsilon > 0} \int_{-\infty}^{+\infty} \varepsilon \left\| R_{A_B^0}(\mu + i\varepsilon) f \right\|^2 d\mu \leq C \|f\|^2, \quad f \in \mathfrak{H}_0, \quad (3.27)$$

holds with some constant  $C > 0$ .

Since  $\varphi_B^+(\cdot)$  does not vanish in  $\mathbb{R} \cup \{\infty\}$ , we see that Lemma 3.4 and (2.19) imply

$$\sup_{\varepsilon > 0} \int_{\substack{\mu \in \mathbb{R} \\ \mu + i\varepsilon \notin B(\lambda_1)}} \|R_{A_B}(\mu + i\varepsilon) f\|^2 d\mu \leq C_1 \|f\|^2, \quad f \in L^2(\mathbb{R}). \quad (3.28)$$

Therefore

$$\sup_{\varepsilon > 0} \int_{\substack{\mu \in \mathbb{R} \\ \mu + i\varepsilon \notin B(\lambda_1)}} \|R_{A_B^0}(\mu + i\varepsilon) f\|^2 d\mu \leq C_1 \|f\|^2, \quad f \in \mathfrak{H}_0. \quad (3.29)$$

Further, let us recall that  $\lambda_1 \in \rho(A_B^0)$ . It means that the operator-function  $R_{A_B^0}(\lambda)$  is bounded on  $B(\lambda_1)$ . If we combine this with (3.29), we get (3.27).

Since  $\sigma(A_B^0) = \mathbb{R}$  and  $\varphi_{B^*}^+(\cdot)$  have no zeroes in  $\overline{\mathbb{C}_+}$ , we can obtain in the same way the estimate

$$\sup_{\varepsilon > 0} \int_{-\infty}^{+\infty} \|R_{(A_B^0)^*}(\mu + i\varepsilon) f\|^2 d\mu \leq C^* \|f\|^2, \quad f \in \mathfrak{H}_0, \quad C^* = \text{const} > 0. \quad (3.30)$$

Hence, by Theorem 1.2, the operator  $A_B^0$  is similar to a self-adjoint operator. Moreover,  $A_B^1$  is a one-dimensional operator. Thus the operator  $A_B$  is similar to a normal one.

**c) General case.** Suppose that conditions (i) and (ii) hold, i.e.,  $\varphi_B^+(\cdot)$  and  $\varphi_{B^*}^+(\cdot)$  do not vanish on  $\mathbb{R} \cup \{\infty\}$  and have only simple zeros in  $\mathbb{C}_+$ . Let us denote by  $n(\varphi_B^+)$  the number of zeroes of  $\varphi_B^+(\cdot)$  in  $\mathbb{C}_+$ . Then  $n(A_B) := n(\varphi_B^+) + n(\varphi_{B^*}^+)$  is the number of eigenvalues of  $A_B$ ,  $\sigma_p(A_B) = \{\lambda_i : i = 1, \dots, n(A_B)\}$ ,  $(\sigma_p(A_B) = \emptyset$  if  $n(A_B) = 0$ ).

By (3.4), the function  $\varphi_B^+(\cdot)$  has at most two zeroes in  $\mathbb{C}_+$ . Hence  $n(A_B) \leq 4$ . It can be shown in the same way as in step (b) that exists a decomposition

$$\begin{aligned} L^2(\mathbb{R}) &= \mathfrak{H}_0 \dot{+} \mathfrak{H}_1 \dot{+} \dots \dot{+} \mathfrak{H}_{n(A_B)}, \\ A_B &= A_B^0 \dot{+} A_B^1 \dot{+} \dots \dot{+} A_B^{n(A_B)}. \end{aligned} \quad (3.31)$$

Here  $A_B^0$  is similar to a self-adjoint operator and  $A_B^i$  are one-dimensional operators.

Thus,  $A_B$  is similar to a normal operator. This completes the proof.

#### 4. Other boundary conditions

##### 4.1. The case $\Delta_2 \neq 0$

The following theorem is an obvious corollary of Theorem 2.1.

**Theorem 4.1.** (i) *The triplet  $\Pi_2 = \{\mathbb{C}^2, \Gamma_0, \Gamma_1\}$ , where  $\Gamma_j : W_2^2(\mathbb{R} \setminus \{0\}) \rightarrow \mathbb{C}^2, j \in \{0, 1\}$ ,*

$$\Gamma_0 f = \begin{pmatrix} -f'(+0) \\ f(-0) \end{pmatrix}, \quad \Gamma_1 f = \begin{pmatrix} f(+0) \\ f'(-0) \end{pmatrix}, \quad (4.1)$$

*is a boundary triplet for  $A^*$ .*

(ii) *The corresponding Weyl function  $M(\cdot)$  is*

$$M(\lambda) = M_2(\lambda) := \begin{pmatrix} 1/\sqrt{-\lambda} & 0 \\ 0 & \sqrt{\lambda} \end{pmatrix}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (4.2)$$

(iii) *The corresponding  $\gamma$ -field  $\gamma(\lambda) : \mathbb{C}^2 \rightarrow \mathfrak{N}_\lambda$  is*

$$\gamma(\lambda) \begin{pmatrix} c_+ \\ c_- \end{pmatrix} := c_+ \frac{1}{\sqrt{-\lambda}} \cdot e^{-\sqrt{-\lambda}x} \chi_+(x) + c_- \cdot e^{\sqrt{\lambda}x} \chi_-(x), \quad c_\pm \in \mathbb{C}. \quad (4.3)$$

If  $\Delta_2 \neq 0$  then the boundary conditions (2.8) take the form

$$\begin{cases} f(+0) = -b_{11}f'(+0) + b_{12}f(-0) \\ f'(-0) = -b_{21}f'(+0) + b_{22}f(-0) \end{cases}. \quad (4.4)$$

Further, by Definition 1.5, we get

$$A_{(a_{ij})} = A_B = A^* | \ker(\Gamma_1 - B\Gamma_0), \quad B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \in \mathbb{C}^{2 \times 2}. \quad (4.5)$$

As before, denote by  $\varphi_B(\cdot)$  the function as in (2.14) with  $M(\cdot)$  as in (4.2).

Combining Proposition 1.2 and Theorem 1.2, one obtains

**Lemma 4.1.** *If  $A_B$  is the operator (4.5) and  $|b_{12}| + |b_{21}| \neq 0$  then:*

- (i)  $\sigma_c(A_B) = \mathbb{R}$ ,  $\sigma_r(A_B) = \emptyset$ ;
- (ii)  $\sigma_p(A_B) = \{\lambda \in \mathbb{C} \setminus \mathbb{R} : \varphi_B(\lambda) = 0\} = \{\lambda \in \mathbb{C}_+ : \varphi_B(\lambda) = 0\} \cup \{\lambda \in \mathbb{C}_- : \varphi_{B^*}(\bar{\lambda}) = 0\}$ .

(iii) *The Krein formula has the form*

$$\begin{aligned} ((A_B - \lambda)^{-1} f - (A_0 - \lambda)^{-1} f)(x) &= \\ &= \frac{e^{-\sqrt{-\lambda}x} \cdot \chi_+(x)}{\varphi_B(\lambda) \cdot \sqrt{-\lambda}} \left( \frac{b_{22} - \sqrt{\lambda}}{\sqrt{-\lambda}} \cdot y_+(f, \lambda) - b_{12} \cdot y_-(f, \lambda) \right) + \\ &+ \frac{e^{\sqrt{\lambda}x} \cdot \chi_-(x)}{\varphi_B(\lambda)} \left( -\frac{b_{21}}{\sqrt{-\lambda}} \cdot y_+(f, \lambda) + \left( b_{11} - \frac{1}{\sqrt{-\lambda}} \right) \cdot y_-(f, \lambda) \right), \\ &f \in L^2(\mathbb{R}), \end{aligned} \quad (4.6)$$

where  $A_0 = A^* | \ker \Gamma_0$ ,  $\lambda \in \rho(A_B) \cap \rho(A_0)$ , and  $y_\pm(f, \lambda)$  are defined by (2.18).



#### 4.2. The case $\Delta_3 \neq 0$

Let  $\Delta_3 \neq 0$ . In this case we write the boundary conditions (2.8) in the following form

$$\begin{cases} f'(+0) = b_{11}f(+0) + b_{12}f(-0) \\ f'(-0) = b_{21}f(+0) + b_{22}f(-0). \end{cases} \quad (4.7)$$

Let  $B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$  and

$$A_B := A^*|_{\text{dom}(A_B)}, \quad \text{dom}(A_B) = \{f \in W_2^2(\mathbb{R} \setminus \{0\}) : f \text{ satisfies (4.7)}\}. \quad (4.8)$$

**Theorem 4.2.** (i) The triplet  $\Pi_3 = \{\mathbb{C}^2, \Gamma_0, \Gamma_1\}$ , where  $\Gamma_j : W_2^2(\mathbb{R} \setminus \{0\}) \rightarrow \mathbb{C}^2, j \in \{0, 1\}$ ,

$$\Gamma_0 f = \begin{pmatrix} f(+0) \\ f(-0) \end{pmatrix}, \quad \Gamma_1 f = \begin{pmatrix} f'(+0) \\ f'(-0) \end{pmatrix}, \quad (4.9)$$

is a boundary triplet for  $A^*$ .

(ii) The corresponding Weyl function  $M(\cdot)$  is

$$M(\lambda) = M_3(\lambda) := \begin{pmatrix} -\sqrt{-\lambda} & 0 \\ 0 & \sqrt{\lambda} \end{pmatrix}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (4.10)$$

(iii) The corresponding  $\gamma$ -field  $\gamma(\lambda) : \mathbb{C}^2 \rightarrow \mathfrak{N}_\lambda$  is

$$\gamma(\lambda) \begin{pmatrix} c_+ \\ c_- \end{pmatrix} := c_+ \cdot e^{-\sqrt{-\lambda}x} \chi_+(x) + c_- \cdot e^{\sqrt{\lambda}x} \chi_-(x), \quad c_\pm \in \mathbb{C}. \quad (4.11)$$

Therefore,  $A_B$  of the form (4.8) is an almost solvable extension of  $A$  and  $A_B = A^*|_{\ker(\Gamma_1 - B\Gamma_0)}$ , where  $\Gamma_i, i \in \{0, 1\}$ , are defined by (4.9).

**Lemma 4.2.** Let the function  $\varphi_B(\cdot)$  be of the form (2.14) with  $M(\cdot)$  defined by (4.10); let the operator  $A_B$  is given by (4.8) and  $|b_{12}| + |b_{21}| \neq 0$ . Then:

(i)  $\sigma_c(A_B) = \mathbb{R}, \quad \sigma_r(A_B) = \emptyset$ ;

(ii)  $\sigma_p(A_B) = \{\lambda \in \mathbb{C} \setminus \mathbb{R} : \varphi_B(\lambda) = 0\} = \{\lambda \in \mathbb{C}_+ : \varphi_B(\lambda) = 0\} \cup \{\lambda \in \mathbb{C}_- : \varphi_{B^*}(\bar{\lambda}) = 0\}$ .

(iii) The Krein formula has the form

$$\begin{aligned} ((A_B - \lambda)^{-1}f - (A_0 - \lambda)^{-1}f)(x) &= \\ &= \frac{e^{-\sqrt{-\lambda}x} \cdot \chi_+(x)}{\varphi_B(\lambda)} \left( (b_{22} - \sqrt{\lambda}) \cdot y_+(f, \lambda) - b_{12} \cdot y_-(f, \lambda) \right) + \\ &+ \frac{e^{\sqrt{\lambda}x} \cdot \chi_-(x)}{\varphi_B(\lambda)} \left( -b_{21} \cdot y_+(f, \lambda) + (b_{11} + \sqrt{-\lambda}) \cdot y_-(f, \lambda) \right), \quad f \in L^2(\mathbb{R}), \end{aligned} \quad (4.12)$$

where  $A_0 = A^*|_{\ker \Gamma_0}$ ,  $\lambda \in \rho(A_B) \cap \rho(A_0)$ , and  $y_\pm(f, \lambda)$  are given by (2.18).

**4.3. The case  $\Delta_4 \neq 0$** 

If  $\Delta_4 \neq 0$ , then boundary conditions (2.8) take the form

$$\begin{cases} -f(+0) = b_{11}f'(+0) + b_{12}f'(-0) \\ -f(-0) = b_{21}f'(+0) + b_{22}f'(-0). \end{cases} \quad (4.13)$$

For  $B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$  we set

$$A_B := A^*|_{\text{dom}(A_B)},$$

$$\text{dom}(A_B) = \{f \in W_2^2(\mathbb{R} \setminus \{0\}) : f \text{ satisfies (4.13)}\}. \quad (4.14)$$

**Theorem 4.3.** (i) The triplet  $\Pi_4 = \{\mathbb{C}^2, \Gamma_0, \Gamma_1\}$ , where  $\Gamma_j : W_2^2(\mathbb{R} \setminus \{0\}) \rightarrow \mathbb{C}^2, j \in \{0, 1\}$ ,

$$\Gamma_0 f = \begin{pmatrix} f'(+0) \\ f'(-0) \end{pmatrix}, \quad \Gamma_1 f = \begin{pmatrix} -f(+0) \\ -f(-0) \end{pmatrix}, \quad (4.15)$$

is a boundary triplet for  $A^*$ .

(ii) The corresponding Weyl function  $M(\cdot)$  is

$$M(\lambda) = M_4(\lambda) := \begin{pmatrix} 1/\sqrt{-\lambda} & 0 \\ 0 & -1/\sqrt{\lambda} \end{pmatrix}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (4.16)$$

(iii) The corresponding  $\gamma$ -field  $\gamma(\lambda) : \mathbb{C}^2 \rightarrow \mathfrak{N}_\lambda$  is

$$\gamma(\lambda) \begin{pmatrix} c_+ \\ c_- \end{pmatrix} := -\frac{c_+}{\sqrt{-\lambda}} \cdot e^{-\sqrt{-\lambda}x} \chi_+(x) + \frac{c_-}{\sqrt{\lambda}} \cdot e^{\sqrt{\lambda}x} \chi_-(x), \quad c_\pm \in \mathbb{C}. \quad (4.17)$$

Therefore,  $A_B$  as in (4.14) is an almost solvable extension of  $A$  and  $A_B = A^*|_{\ker(\Gamma_1 - B\Gamma_0)}$ , where  $\Gamma_j, j \in \{0, 1\}$  are given by (4.15).

**Lemma 4.3.** Suppose the function  $\varphi_B(\cdot)$  is given by (2.14) with  $M(\cdot)$  as in (4.16). If the operator  $A_B$  is given by (4.14) and  $|b_{12}| + |b_{21}| \neq 0$  then:

(i)  $\sigma_c(A_B) = \mathbb{R}, \quad \sigma_r(A_B) = \emptyset;$

(ii)  $\sigma_p(A_B) = \{\lambda \in \mathbb{C} \setminus \mathbb{R} : \varphi_B(\lambda) = 0\} = \{\lambda \in \mathbb{C}_+ : \varphi_B(\lambda) = 0\} \cup \{\lambda \in \mathbb{C}_- : \varphi_{B^*}(\bar{\lambda}) = 0\}.$

(iii) The Krein formula has the form

$$\begin{aligned} ((A_B - \lambda)^{-1}f - (A_0 - \lambda)^{-1}f)(x) &= \\ &= \frac{e^{-\sqrt{-\lambda}x} \cdot \chi_+(x)}{\varphi_B(\lambda) \cdot \sqrt{-\lambda}} \left( (b_{22} + 1/\sqrt{\lambda}) \cdot \frac{y_+(f, \lambda)}{\sqrt{-\lambda}} + b_{12} \cdot \frac{y_-(f, \lambda)}{\sqrt{\lambda}} \right) + \\ &+ \frac{e^{\sqrt{\lambda}x} \cdot \chi_-(x)}{\varphi_B(\lambda) \cdot \sqrt{\lambda}} \left( b_{21} \cdot \frac{y_+(f, \lambda)}{\sqrt{-\lambda}} + (b_{11} - 1/\sqrt{-\lambda}) \cdot \frac{y_-(f, \lambda)}{\sqrt{\lambda}} \right), \quad f \in L^2(\mathbb{R}), \end{aligned} \quad (4.18)$$

where  $A_0 = A^*|_{\ker \Gamma_0}$ ,  $\lambda \in \rho(A_B) \cap \rho(A_0)$ , and  $y_\pm(f, \lambda)$  are given by (2.18).

#### 4.4. The similarity criterion

Arguing as above, we see that for the cases considered in subsections 4.1-4.3 analogues of Theorems 3.1 and 3.2 hold.

**Theorem 4.4.** *Theorems 3.1 and 3.2 are valid for the extensions  $A_B$  with boundary conditions (4.4), (4.7) or (4.13) if the function  $M(\cdot)$  is replaced by (4.2), (4.10) or (4.16), respectively.*

### 5. On similarity of $(\operatorname{sgn} x) \left( -\frac{d^2}{dx^2} + c\delta \right)$ and $(\operatorname{sgn} x) \left( -\frac{d^2}{dx^2} + c\delta' \right)$ to normal operators

**5.1.** Let us illustrate the previous results by several examples. We start with the operator  $\tilde{A} = -(\operatorname{sgn} x) \frac{d^2}{dx^2}$ , see (0.3). It is obvious that  $\tilde{A} = A_B$ , where  $A_B$  is an almost solvable extension of the form (2.13) with  $B = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . It follows from (3.4) that in this case

$$\varphi_B^+(\lambda) = \varphi_{B^*}^+(\lambda) \equiv 1 - i, \quad \lambda \in \overline{\mathbb{C}_+}.$$

Hence, by Theorem 3.2, one obtains the result of [7].

**Theorem 5.1** ([7]). *The operator  $\tilde{A} = -(\operatorname{sgn} x) \frac{d^2}{dx^2}$  is similar to a self-adjoint operator.*

**5.2.** Let  $\delta$  be the Dirac delta. Let us introduce the following differential expression

$$-\frac{d^2}{dx^2} + c\delta, \quad c \in \mathbb{C} \setminus \{0\}. \quad (5.1)$$

Here  $\delta$  formally represents a contact interaction at zero if  $c \in \mathbb{R}$ .

In  $L^2(\mathbb{R})$ , expression (5.1) generates the differential operator  $L_{c\delta}$  defined by

$$\operatorname{dom}(L_{c\delta}) = \{f \in W_2^2(\mathbb{R} \setminus \{0\}) : f(+0) = f(-0), f'(+0) - f'(-0) = cf(-0)\},$$

$$L_{c\delta} := -\frac{d^2}{dx^2} \quad (5.2)$$

(see for example [2, 35]). We put  $A_{c\delta} := JL_{c\delta}$ , where  $(Jf)(x) = (\operatorname{sgn} x)f(x)$ , i.e., the operator  $A_{c\delta}$  is defined in  $L^2(\mathbb{R})$  by the differential expression

$$(\operatorname{sgn} x) \left( -\frac{d^2}{dx^2} + c\delta \right), \quad c \in \mathbb{C} \setminus \{0\}. \quad (5.3)$$

It is clear that the operator  $A_{c\delta}$  is an extension of the operator  $A$  of the form (0.1). Moreover,  $A_{c\delta} = A_B$  where  $A_B$  is given by (2.13) and

$$B = B_c := \begin{pmatrix} c & 1 \\ -1 & 0 \end{pmatrix}. \quad (5.4)$$

**Theorem 5.2.** *Let  $c \neq 0$ .*

- (i) *The operator  $A_{c\delta}$  is similar to a normal one if and only if  $\operatorname{Re} c \neq -|\operatorname{Im} c|$ .*
- (ii)  *$A_{c\delta}$  is similar to a self-adjoint operator if and only if  $\operatorname{Re} c > -|\operatorname{Im} c|$ .*

*Proof.* Let  $B$  be given by (5.4). By (3.4), one gets

$$\varphi_B^+(\lambda) = 1 - i + \frac{c}{\sqrt{\lambda}}, \quad \varphi_{B^*}^+(\lambda) = 1 - i + \frac{\bar{c}}{\sqrt{\lambda}}, \quad \lambda \in \overline{\mathbb{C}_+} \setminus \{0\}. \quad (5.5)$$

Note that  $\varphi_B^+(\cdot)$  or  $\varphi_{B^*}^+(\cdot)$  have a real zero iff  $\operatorname{Re}(c) = -|\operatorname{Im}(c)|$ . Furthermore,  $\varphi_B^+(\cdot)$  and  $\varphi_{B^*}^+(\cdot)$  do not vanish in  $\overline{\mathbb{C}_+}$  iff  $\operatorname{Re}(c) > -|\operatorname{Im} c|$ . Hence the statements of Theorem 5.2 obviously follow from Theorems 3.1 and 3.2.  $\square$

**5.3.** Let us consider the extension  $A_{\tilde{B}}$  of the form (2.13) with

$$\tilde{B} = \tilde{B}_c = \begin{pmatrix} 0 & 1 \\ -1 & c \end{pmatrix}, \quad c \neq 0.$$

This is a so-called "operator with  $\delta'$ -interaction" (see [2]). The formal differential expression corresponding to  $A_{\tilde{B}}$  is

$$(\operatorname{sgn} x) \left( -\frac{d^2}{dx^2} + c\delta' \right), \quad c \in \mathbb{C} \setminus \{0\}. \quad (5.6)$$

Therefore we will denote the operator  $A_{\tilde{B}}$  by  $A_{c\delta'}$ .

**Theorem 5.3.** *Let  $c \neq 0$ .*

- (i) *The operator  $A_{c\delta'}$  is similar to a normal one if and only if  $\operatorname{Re} c \neq -|\operatorname{Im} c|$ .*
- (ii)  *$A_{c\delta'}$  is similar to a self-adjoint operator if and only if  $\operatorname{Re} c > -|\operatorname{Im} c|$ .*

*Proof.* So, by (3.4), we have

$$\varphi_{c\delta'}^+(\lambda) = 1 - i - ic\sqrt{\lambda}, \quad \varphi_{c\delta'}^+(\lambda) = 1 - i - i\bar{c}\sqrt{\lambda}, \quad \lambda \in \overline{\mathbb{C}_+}. \quad (5.7)$$

These functions have a real zero iff  $\operatorname{Re} c = -|\operatorname{Im} c|$ , have no zeros in  $\mathbb{C}_+$  iff  $\operatorname{Re} c > -|\operatorname{Im} c|$ . Hence the statements of Theorem 5.3 follow from Theorems 3.1 and 3.2.  $\square$

**Remark 5.1.** *Let  $\hat{L}$  be a self-adjoint extension of the symmetric operator*

$$(Lf)(x) := -f''(x), \quad \operatorname{dom}(L) = \{f \in W_2^2(\mathbb{R}) : f(0) = f'(0) = 0\}. \quad (5.8)$$

*Assume that the boundary conditions at 0 associated with the extension  $\hat{L}$  are nonseparate, i.e., the operator  $\hat{L}$  does not admit the following decomposition  $\hat{L}_+ \oplus \hat{L}_-$ , where  $\hat{L}_\pm := \hat{L}|_{(\operatorname{dom}(\hat{L}) \cap L^2(\mathbb{R}_\pm))}$ . Then  $\hat{L}$  can be considered as an operator with a singular interaction (see [27] for the details). Using the arguments of this section, one can describe the main spectral properties of the corresponding  $J$ -self-adjoint operator  $\hat{A} := J\hat{L}$ .*

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